

jc675 U.S. PTO  
10/027935  
12/21/01

12-28-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A-68359-1/RMA

Anticipated Classification of  
this Application: 451-041.000

Prior Application: Serial No. 09/390,142  
Prior Examiner: T. Eley

Art Unit: 3723

"EXPRESS MAIL" MAILING LABEL  
NUMBER EL 758 643 060 US

DATE OF DEPOSIT: December 21, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL"  
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE  
INDICATED ABOVE AND IS ADDRESSED TO: BOX PATENT  
APPLICATION FEE, ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

By Darryl Kriner

Box PATENT APPLICATION FEE  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This is a request for filing an  
— Original  
— Continuation  
X Division  
— Continuation-in-part

application under 37 C.F.R. 1.53(b), in the name of Inventors Gerard S. Moloney, Milpitas, California;  
Jason Price, Eugene, Oregon; Scott Chin, Palo Alto, California; Jiro Kajiware, Cupertino, California; Malek  
Charif, San Jose, California, for:

**Apparatus And Method For Chemical Mechanical Polishing (CMP) Head Having  
Direct Pneumatic Wafer Polishing Pressure**

This application is a division of pending application Serial No. 09/390,142 filed September 3,  
1999.

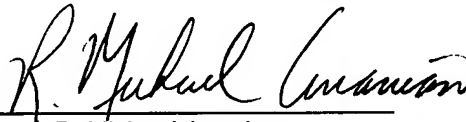
1. (a)    Enclosed is a new application.  
(b)    Enclosed is a continuation-in-part application.  
(c) X Enclosed is a copy of the prior application.
2. (a)    Enclosed is a new Declaration.  
(b) X Enclosed is a copy of the prior executed counterpart Declarations and Amended  
Declaration of Gerard S. Moloney as filed in the parent application Serial No. 09/390,142
3. (a)    Enclosed is a Small Entity Affidavit.  
(b)    A Small Entity Affidavit is of record in the prior application.

(d) X Address all future communications to:

R. Michael Ananian  
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400, Four Embarcadero Center  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989

13. X A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
14. X An Information Disclosure Statement is enclosed.
15. X I hereby verify that the attached papers are a true duplicate of prior application Serial No. 09/390,142 filed September 3, 1999.

RESPECTFULLY SUBMITTED,  
FLEHR HOHBACH TEST ALBRITTON & HERBERT, LLP

By   
R. Michael Ananian  
Reg. No. 35,050

4 Embarcadero Center Suite 3400  
San Francisco, CA 94111  
(415) 781-1989

1036168

4. X The filing fee is calculated below: Claims as filed in the prior application, less any claims canceled by amendment below:

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE FEE	LARGE ENTITY RATE FEE
BASIC FEE			\$370	\$740
TOTAL CLAIMS	18 - 20 =	0	x 9 = \$	x 18 = \$
INDEP CLAIMS	5 - 3 =	2	x 42 = \$	x 84 = \$168
MULTIPLE DEPENDENT CLAIM PRESENTED			+ 130 = \$	+ 260 = \$
If the difference in Col 1 is less than zero, enter "0" in Col. 2			TOTAL \$345	TOTAL \$908

5. X Our check in the amount of \$908.00 is enclosed.
6. X The Commissioner is hereby authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-68359-1/RMA).
7. X Cancel in this application Claims 2-8 of the prior application before calculating the filing fee. (At least one independent claim must be retained for filing purposes.)
8. X Amend the specification by inserting before the first line the sentence:  
 - This application is a division of pending application Serial No. 09/390,142 filed September 3, 1999. -
9. (a)     Informal drawings are enclosed.  
 (b) X 24 sheets of formal drawings are enclosed.
10. (a)     Priority of application Serial No. \* filed on \* in \* is claimed under 35 U.S.C. 119.  
 (b)     The certified copy has been filed in prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.
11. X The prior application has been assigned to **MITSUBISHI MATERIALS CORPORATION**
12. X The power of attorney in the prior application is to:

**FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP**  
 Suite 3400, Four Embarcadero Center  
 San Francisco, California 94111-4187

- (a) X The power appears in the original papers in the prior application.  
 (b)     Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.  
 (c)     A new power has been executed by the Assignee and is enclosed.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

"EXPRESS MAIL" MAILING LABEL NUMBER EL173825845US

DATE OF DEPOSIT :

I HEREBY CERTIFY

THE UNITED STATE

ADDRESSEE" SERVICE

AND IS ADDRESSEE

COMMISSIONER FOR

TYPED NAME R. Michael Ananian

SIGNED

**PRIOR APPLICATION**

Date: September 3, 1999

File No. A-68359/RMA

**B x PATENT APPLICATION FEE**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s): GERARD S. MALONEY, Milpitas, California; 92) JASON PRICE, Eugene, Oregon; (3) SCOTT CHIN, Palo Alto, California; (4) JIRO KAJIWARA, Cupertino, California; (5) MALIK CHARIF, San Jose, California for:

**APPARATUS AND METHOD FOR CHEMICAL-MECHANICAL POLISHING (CMP) HEAD HAVING DIRECT PNEUMATIC WAFER POLISHING PRESSURE**

Enclosed are also:

- ☐ Prior Art Statement
- ☒ 24 Sheets of informal drawings
- ☐ An Assignment of the invention to: \_\_\_\_\_
- ☐ Cost of recording to be charged to Deposit Account No. 06-1300 (Order No. A- / )
- ☐ Power of Attorney by Assignee & Exclusion of Inventor Under 37 CFR 1.32
- ☐ Combined Declaration and Power of Attorney for Patent Application
- ☐ Declaration for Patent Application
- ☐ Associate Power of Attorney
- ☐ Small Entity Status Declaration Under 37 CFR \_\_\_\_\_
- ☐ Genetic Sequence Submission: Paper copy, Computer Readable Copy; Statement Verifying Identical Paper and Computer Readable Copy

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE FEE	OTHER THAN SMALL ENTITY RATE FEE
BASIC FEE			\$380	\$760
TOTAL CLAIMS	<u>8</u> - 20 = 0		x 9 = \$	x 18 = \$
INDEP CLAIMS	<u>8</u> - 3 = 5		x 39 = \$	x 78 = \$
MULTIPLE DEPENDENT CLAIM PRESENTED			+130 = \$	+260 = \$
If the difference in Col 1 is less than zero, enter "0" in Col. 2			TOTAL \$	TOTAL \$

☐ Our Check No.      in the amount of \$      to cover the filing fee is enclosed.

☐ This application claims the benefit under 35 USC § 119(e) of U.S. Provisional Application Serial No.                      filed                     

☒ This application claims priority under 35 USC § 120 of U.S. Patent Application Serial No. 09,261,112 filed March 3, 1999, and U.S. Patent Application Serial No. 09/294,547 filed April 19, 1999.

Respectfully submitted,